

CHAIRMAN OF THE JOINT CHIEFS OF STAFF INSTRUCTION

J-8 DISTRIBUTION: A, B, C, S CJCSI 5123.01G 12 February 2015

CHARTER OF THE JOINT REQUIREMENTS OVERSIGHT COUNCIL (JROC)

References: See Enclosure C

- 1. <u>Purpose</u>. In accordance with reference a, this instruction implements the JROC as a statutory council to the Chairman of the Joint Chiefs of Staff (CJCS). This instruction further delineates the roles and responsibilities of the JROC, its subordinate boards, and other organizations with equity in the Joint Capabilities Integration and Development System (JCIDS) and the other departmental processes outlined in references b and c.
- a. Enclosure A outlines the organizational structure of the JROC and its subordinate boards, as well as related organizations.
- b. Enclosure B outlines the responsibilities for organizations with equities in the JCIDS process.

2. Superseded/Cancellation

- a. CJCS Instruction (CJCSI) 5123.01F, 10 January 2012, "Charter of the Joint Requirements Oversight Council," is hereby superseded.
- b. CJCSI 3312.01B, 10 June 2010, "Joint Military Intelligence Requirements Certification," is hereby canceled.
- c. CJCSI 6212.01F, 21 March 2012, "Net-Ready Key Performance Parameter (NR KPP)," is hereby canceled.
- d. Joint Staff J-8, Deputy Director for Force Protection (J-8/DDFP) Charter, 23 February 2006, "Joint Weapon Safety Technical Advisory Panel Charter," is hereby cancelled.
- 3. <u>Applicability</u>. This instruction applies to the Joint Staff, Services, Combatant Commands (CCMDs), and other Department of Defense (DoD) Components.

4. Policy

a. The JROC derives its primary mission and responsibilities from reference a, which establishes the JROC as a statutory council to CJCS to address the title 10 responsibilities shown in Figure 1.

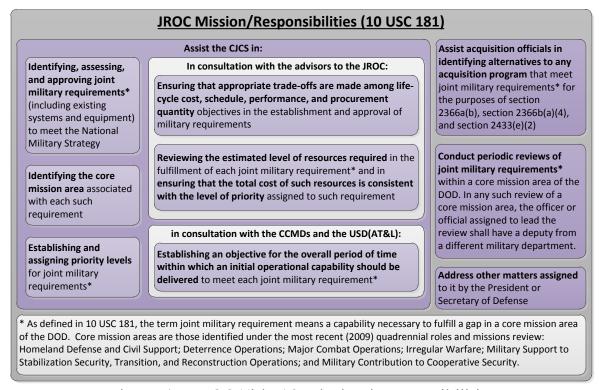


Figure 1. JROC Title 10 Mission/Responsibilities

- b. The JROC supports the CJCS as the principal military adviser to the President, the National Security Staff (NSS), and the Secretary of Defense, in accordance with reference d. Many CJCS functions specified in reference e depend on or are informed by the activities of the JROC and its subordinate boards.
- (1) Advice on Requirements, Programs, and Budget. Advising the Secretary of Defense on the priorities of the requirements identified by the commanders of the CCMDs, and the extent to which the program recommendations and budget proposals of the Services, CCMDs, and other DoD Components conform to the priorities established in strategic plans and with the CCMD priorities. Submitting to the Secretary of Defense alternative program recommendations and budget proposals in order to achieve greater conformance with these priorities, and budget proposals for activities of each CCMD. Advising the Secretary of Defense on the extent to which the major programs and policies of the Armed Forces in the area of manpower conform to strategic plans. Assessing military requirements for acquisition programs.

- (2) <u>Annual Report on CCMD Requirements</u>. Submitting to the congressional defense committees a report on the requirements of the CCMDs. Each report shall contain: a consolidation of the integrated priority lists (IPLs) from the CCMDs; the CJCS views on the consolidated lists; a description of the extent to which the most recent Future Years Defense Program addresses the requirements on the consolidated lists; and a description of the funding proposed in the President's budget to address each deficiency.
- (3) <u>Risks Under National Military Strategy (NMS)</u>. Submitting to the Secretary of Defense an assessment of the strategic and military risks associated with executing the missions called for under the current NMS.
- (4) <u>Contingency Planning and Preparedness</u>. Providing for preparation and review of contingency plans and related joint logistic and mobility plans that conform to policy guidance from the President and Secretary of Defense. Advising the Secretary of Defense on critical deficiencies and strengths in force capabilities.
- (5) <u>Strategic Planning</u>. Preparing strategic plans and related joint logistic and mobility plans that conform to resource levels projected to be available. Performing net assessments of the U.S. Armed Forces and its allies compared with those of potential adversaries.
- (6) <u>Doctrine, Training, and Education</u>. Developing doctrine for the joint employment of the Armed Forces. Formulating policies for the joint training of the Armed Forces. Formulating policies for coordinating the military education and training of members of the Armed Forces.

c. JROC Communications

- (1) Direct communications are necessary to facilitate and expedite JROC information flow, and thus do not follow normal Joint Staff channels, but rather use JCIDS processes outlined in references b and c.
- (2) Priority communications between the JROC Chairman or the JROC Secretary and the Service, CCMD, and other component or organization principals are direct. Each principal will identify a point of contact (POC) who has direct access to that principal to assist and facilitate communication regarding JROC and JCIDS matters. The JROC Secretariat will maintain direct access to the JROC Chairman and JROC Secretary to facilitate timely communication between principals.

d. JROC Information Availability and Releasability

(1) The JROC Secretariat is the approval authority for release of all official information and documents associated with JROC recommendations, in

accordance with reference f. As the document originator, the Sponsor shall be responsible for approving the release of capability documents to include Initial Capabilities Documents (ICDs), Capability Development Documents (CDDs), and Capability Production Documents (CPDs).

- (a) When the Secretary of Defense approves a JROC recommendation, information and analysis materials supporting the recommendation will be made available in a timely fashion to congressional defense committees, as needed, or in response to a Government Accountability Office (GAO) inquiry under authority granted in references g and h.
- (b) JROC information will be released to Congress and other non-DoD entities only after complete case-by-case review and coordination with the JROC Secretariat, JROC membership, applicable Joint Staff offices (e.g., Legal Counsel, Public Affairs, and Legislative Assistant), and the originating organization, as appropriate. The decision to provide documents to a congressional committee for review is governed by references i though k.
- (c) Sponsors shall notify the Joint Staff Gatekeeper if the Sponsor intends to release a capability requirement document in support of a GAO inquiry or in response to a request from a congressional committee.
- (2) The JROC Secretariat will maintain all minutes and memorandums associated with JROC and Joint Capability Board (JCB) activities.
- (a) Pre-decisional minutes and memorandums will be accessible to the Joint Staff, Services, and CCMDs. JROC pre-decisional documents and briefings are not available for release without coordination of the JROC Secretariat.
- (b) Other DoD Components and JROC advisors will be able to access approved materials classified at the level of SECRET or below through the Knowledge Management/Decision Support (KM/DS) system. Documents classified above the level of SECRET will be accessible via the Joint Worldwide Intelligence Communications System or the Joint Staff J-8, Special Access Program Coordinator (J-8/SAPCOORD).

e. <u>JROC Processes and Support Tools</u>

- (1) Reference b implements the JCIDS process as the primary process used by the JROC and its subordinate boards to fulfill statutory responsibilities to CJCS in identifying, assessing, validating, and prioritizing joint military capability requirements.
- (2) Reference c provides specific procedures for the operation of JCIDS, the development and staffing of capability requirement documents at all

classification levels—for both deliberate and urgent/emergent capability requirements. Reference c also outlines the mandated Requirements Management Certification Training (RMCT) program for personnel participating in the JCIDS process.

- (3) The KM/DS system is the authoritative system for processing, coordinating, tasking, and archiving capability requirement documents, validation memorandums, and related data when classified at or below the level of SECRET. References b and c provide greater detail on the handling of documents and data classified above the level of SECRET, and those protected by Special Access Program (SAP), Special Access Required (SAR), or Alternative Compensatory Control Measure (ACCM) designation. Reference 1 provides the Uniform Resource Locator (URL) for the KM/DS system and reference m provides the URL for the KM/DS wiki site.
- 5. Definitions. See Glossary.
- 6. Responsibilities. See Enclosure B.

7. Summary of Major Changes

- a. Absorbs roles/responsibilities from the consolidation of CJCSI 3312.01 (Intelligence Certification), CJCSI 6212.01 (NR KPP Certification), and the Joint Weapon Safety Technical Advisory Panel (JWSTAP) Charter (for the Weapon Safety Endorsement (WSE)). The balance of content is absorbed into CJCSI 3170.01 and the JCIDS Manual.
- b. Clarifies that Functional Capability Board (FCB) Chairs act in a J-8 role, on behalf of the DJ-8 when executing FCB activities, even if assigned from other Joint Staff directorates.
- c. Clarifies responsibilities to submit all draft documents to Joint Staff Gatekeeper for assignment of Joint Staffing Designator (JSD) and identification of independent validation authority. Also clarifies responsibility to submit final copies of all urgent and deliberate capability requirement documents and their validation memorandums to the KM/DS system for visibility.
- d. Adds reference to Deputy Chief Management Officer (DCMO) handling Defense Business Systems (DBS), including a common gatekeeping function with the Joint Staff Gatekeeper.
- e. Clarifies U.S. Special Operations Command (USSOCOM) certification/endorsement and independent validation authorities.
- f. Deletes reference to the SAP Integration Group. Clarifies responsibilities for submitting documents classified above the level of SECRET, and other

situations where the KM/DS system cannot be used, including documents protected by ACCM or SAP/SAR designation.

- g. Adds an illustration of title 10, U.S.C., section 181 (10 U.S.C. 181) to clarify JROC roles and responsibilities, and an illustration of the interaction between the JROC, its subordinate boards, and other interacting organizations.
- h. Clarifies that Service representation on the JCB should be representatives with significant decision-making authorities over requirements and can speak on behalf of the Service with respect to resources associated with capability requirements validated at the JCB level.
- i. Expands on other roles/responsibilities within the Joint Staff and with other participating organizations with respect to their support of the JROC, its subordinate boards, and the JCIDS process.
- j. Deletes reference to the Capabilities Development Tracking and Management tool due to its retirement.
- 8. Releasability. UNRESTRICTED. This instruction is approved for public release; distribution is unlimited on NIPRNET. DoD Components (to include the Combatant Commands), other Federal Agencies, and the public may obtain copies of this directive through the Internet from the CJCS Directives Electronic Library at http://www.dtic.mil/cjcs_directives. JS activities may also obtain access via the SIPR directives Electronic Library Web sites.
- 9. Effective Date. This INSTRUCTION is effective upon receipt.

For Chairman of the Joint Chiefs of Staff:

DAVID L. GOLDFEIN, Lt Gen, USAF

Director, Joint Staff

Enclosures:

A — Organizational Structure

 ${\bf B-Responsibilities}$

C — References

GL — Glossary

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ENCLOSURE A

ORGANIZATIONAL STRUCTURE

1. Primary Boards

a. The primary functions of the JROC are conducted by four levels of review boards and supported by a number of other organizations as shown in Figure A-1.

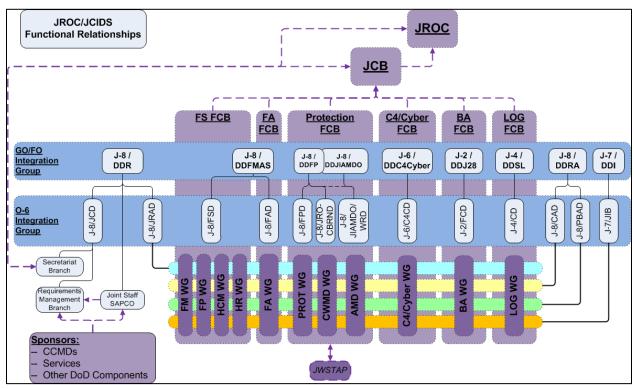


Figure A-1. JROC Subordinate Boards and Related Organizations

(1) JROC

- (a) <u>Role</u>. The JROC is the highest level board and the JCIDS process owner. Duties include:
- <u>1</u>. Conducting JCIDS process activities, including the annual Capability Gap Assessment (CGA), in accordance with references b and c.
- $\underline{2}$. Unless independent validation authority is identified in accordance with reference b, providing validation of capability requirements, prioritization within and across the capability requirement portfolios, and final adjudication of any other issues.

- <u>3</u>. Participating in Joint Concept Development (JCD) in accordance with reference n.
- <u>4</u>. Assisting the CJCS in preparation of the Chairman's Program Recommendations (CPR), Chairman's Program Assessment (CPA), and Chairman's Risk Assessment (CRA).
- <u>5</u>. Conducting joint assessments of DoD programs, infrastructure, support functions, manpower, and quality-of-life matters as may be directed by the Secretary of Defense or the CJCS.
- <u>6</u>. Assisting the CJCS in drafting the annual strategic guidance to the commanders of the CCMDs, Service Chiefs, and the Joint Staff.
- <u>7</u>. Overseeing broad mission-area assessments. Reviewing and approving plans and recommendations for correcting joint warfighting deficiencies/overages of the CCMDs while ensuring interoperability, reducing parallel and duplicate development efforts, and promoting economies of scale.
- <u>8</u>. Conducting risk assessments and establishing joint priorities within key warfighting and support areas.
- <u>9</u>. Validating mission needs addressed by Joint Capability Technology Demonstration (JCTD) proposals prior to approval by the Under Secretary of Defense for Acquisition, Technology and Logistics (USD(AT&L)).
- <u>10</u>. Meeting periodically with CCMDs to ensure current and future warfighting deficiencies and capabilities are identified, well defined, and given emphasis in the establishment of joint capabilities and programmatic priorities.
- <u>11</u>. Performing other duties as assigned to support CJCS advice to the President, NSS, Secretary of Defense, Congress, or others.
- (b) <u>JROC Chairman</u>. The Vice Chairman of the Joint Chiefs of Staff (VCJCS) serves as the JROC Chairman. Duties include:
- <u>1</u>. Providing oversight of the JCIDS process and related organizations, including periodic review and improvement of references b and c to provide better support to JROC needs.
- <u>2</u>. Acting on behalf of the JROC in maintaining liaison with the Services, CCMDs, and other DoD Components.
- (c) <u>JROC Secretary/Secretariat</u>. The Director, Joint Staff J-8 Directorate for Force Structure, Resources, and Assessment (DJ-8) serves as

the JROC Secretary. The Joint Staff J-8 Joint Capabilities Division (J-8/JCD), Secretariat Branch serves as the JROC Secretariat, and performs JROC administrative duties as directed or delegated by the JROC Secretary. Duties include:

- $\underline{1}$. Developing the agenda for and calling the JROC meetings, organizing JROC work, and ensuring prompt prosecution of JROC business.
- $\underline{2}$. Coordinating the actions of the Joint Staff in their support of the JROC Chairman.
- <u>3</u>. Scheduling briefings by Services, CCMDs, and other DoD Components on issues that may require JROC resolution or recommendations.
- <u>4</u>. Appointing a JROC recorder to document JROC actions and maintain JROC historical records.
- <u>5</u>. Recording and distributing JROC decisions and recommendations by publishing an associated Joint Requirements Oversight Council Memorandum (JROCM).
- <u>6</u>. Maintaining responsibility for all internal and external reports.
- <u>7</u>. Developing and establishing JROC and JCB administrative procedures.
- <u>8</u>. Providing necessary continuity and a Joint Staff POC for the JROC.
- (d) <u>JROC Membership</u>. The JROC is comprised of officers in the grade of General or Admiral from the Services and CCMDs.
- <u>1</u>. Service representatives participate in all JROC activities. Service representatives are recommended by the Secretary of their military department and approved by the CJCS after consultation with the Secretary of Defense.
- <u>2</u>. In addition to when participation is requested by the JROC Chairman, CCMD representatives are highly encouraged to participate as voting members of the JROC when matters related to the area of responsibility or functions of that command will be under consideration by the JROC. CCMD representatives should normally be the Commander or Deputy Commander.

(2) <u>JCB</u>

- (a) <u>Role</u>. The JCB is one level below the JROC and advises the JROC on issues within and across the capability requirement portfolios. Duties include:
- $\underline{1}$. Conducting JCIDS process activities, including participation in the annual CGA, in accordance with references b and c.
- $\underline{2}$. Providing review and endorsement of capability requirement documents and adjudication of lower level issues prior to validation by the JROC.
- <u>3</u>. Validating capability requirement documents with a JSD of JCB Interest in accordance with validation authority identified in reference b.
 - <u>4</u>. Participating in JCD in accordance with reference n.
- <u>5</u>. Nominating topics for JROC consideration and advising on issues requiring JROC review.
- <u>6</u>. Performing other duties as assigned to support the CJCS and JROC.
- (b) <u>JCB Chairman</u>. The DJ-8 serves as the JCB Chairman. Duties include:
- <u>1</u>. Supporting the JROC Chairman and JROC in executing JROC responsibilities, including liaison with the Services, CCMDs, and other DoD Components.
- $\underline{2}$. Coordinating oversight of the JCIDS process and other issues requiring JROC review.
- <u>3</u>. Conducting JROC pre-briefs to ensure topics presented facilitate robust discussion and JROC decision making.
- (c) <u>JCB Secretary/Secretariat</u>. The Chief, J-8/JCD serves as the JCB Secretary. The J-8/JCD, Secretariat Branch serves as the JCB Secretariat, and performs JCB administrative duties as directed or delegated by the JCB Secretary. Duties include:
- <u>1</u>. Scheduling briefings by the Services, CCMDs, and other DoD Components on issues that may require JCB resolution or recommendations.

- $\underline{2}$. Recording decisions and recommendations of the JCB through JROC memorandums.
- <u>3</u>. Maintaining responsibility for all internal and external reports.
- $\underline{4}$. Providing necessary continuity and a Joint Staff POC for the JCB.
- (d) <u>JCB Membership</u>. The JCB is comprised of general or flag officers, or government civilian equivalent, from the Services and CCMDs.
- <u>1</u>. Service representatives participate in all JCB activities. Service representatives are designated by their respective JROC permanent member. These individuals should be representatives with significant decision-making authorities over requirements and can speak on behalf of the Service with respect to resources associated with capability requirements validated at the JCB level.
- <u>2</u>. In addition to when participation is requested by the JCB Chairman, CCMD representatives are highly encouraged to participate as voting members of the JCB when matters related to the area of responsibility or functions of that command will be under consideration by the JCB. CCMD representatives are designated by the commander of that command.

(3) FCBs

- (a) <u>Role</u>. The FCBs are one level below the JCB and advise the JCB and JROC on issues within the capability requirement portfolio(s), and perform other activities at the direction of the JCB or JROC. Duties include:
- $\underline{1}$. Conducting JCIDS process activities, including participation in the annual CGA, in accordance with references b and c.
- <u>2</u>. Providing capability requirement portfolio management, including review and assessment of capability requirement documents and adjudication of lower level issues within their designated capability requirement portfolios prior to review by the JCB.
 - 3. Participating in JCD in accordance with reference n.
- <u>4</u>. Nominating topics for JROC or JCB consideration and advising on issues requiring JROC or JCB review.
- $\underline{5}$. Performing other duties as assigned to support the CJCS, JROC, and JCB.

- <u>6</u>. As needed to execute FCB duties, tasking subject matter experts (SMEs) from the Joint Staff, and requesting information and support from SMEs in the Services, CCMDs, and other DoD Components.
 - (b) Joint Capability Area (JCA) Alignment.
- 1. The FCBs are aligned with the JCAs defined in reference o, which define capability requirement portfolios of functionally similar capabilities within which each of the FCBs can focus their efforts. Table A-1 lists the approved FCBs and their designated FCB Chairs, in accordance with references p through r.

JCA(s)	FCB Name	FCB Chair
1, 8	Force Support (FS)	J-8/DDFMAS
2	Battlespace Awareness (BA)	J-2/DDJ28
3	Force Application (FA)	J-8/DDFMAS
4	Logistics (LOG)	J-4/DDSL
5, 6	Command, Control, Communications, and Computers; and Cyber (C4/Cyber)	J-6/DDC4Cyber
7	Protection	J-8/DDFP

Table A-1. JROC Approved FCBs and FCB Chairs

- $\underline{2}$. Note that the ninth JCA identified in reference o refers to "Corporate Management," and does not have an associated FCB at this time.
- <u>a</u>. Corporate Management issues related to DBS are managed by DCMO, along with common gatekeeping processes with JCIDS via the Joint Staff Gatekeeper.
- <u>b</u>. Other corporate management issues will be handled through one of the listed FCBs with appropriate participation from other organizations.
- (c) <u>FCB Chair</u>. A general or flag officer, or government civilian equivalent from the organization shown in Table A-1, serves as the FCB Chair. While some FCB Chairs are assigned from other Joint Staff directorates to leverage specific expertise of those directorates, all FCB Chairs and their organizations act in a J-8 role on behalf of the DJ-8 when executing FCB activities. Duties include:
- 1. Managing the capability requirement portfolio(s) associated with the JCA(s) assigned to the FCB. This includes ensuring coordination of issues that impact the JCA(s) in capability requirement portfolios managed by other FCBs.

- <u>2</u>. Speaking for the FCB and providing FCB recommendations and portfolio assessments to the JCB, JROC, and other departmental forums.
 - 3. Participating in FCB GO/FO Integration Group meetings.
- $\underline{4}$. In coordination with the J-8/SAPCOORD, maintaining awareness of SAP/SAR protected efforts that impact capabilities within the JCA(s) assigned to the FCB.
- <u>5</u>. In coordination with the Joint Staff Gatekeeper and Sponsor organizations, maintaining awareness of ACCM-protected efforts that impact capabilities within the JCA(s) assigned to the FCB.
- <u>6</u>. Determining additional FCB membership when/if necessary, and ensuring appropriate SMEs from within the Joint Staff and other stakeholder organizations have reviewed and provided input on topics being reviewed by the FCB.
 - 7. Determining FCB Working Group (WG) composition.
- <u>8</u>. Recommending alternatives to provide best value to the FCB's assigned capability requirement portfolio.
- 9. Establishes co-chair(s) when/if desired, on an ongoing or ad-hoc basis. To date, two FCBs have elected to maintain co-chairs.
- <u>a</u>. Deputy Assistant Secretary of Defense (DASD) for Supply Chain Integration is invited to be the co-chair of the LOG FCB.
- <u>b</u>. J-8/Deputy Director for the Joint Integrated Air and Missile Defense Organization (J-8/DDJIAMDO) serves as the co-chair of the Protection FCB for Integrated Air and Missile Defense (IAMD) matters.
- (d) <u>FCB Secretary/Secretariat</u>. A military officer in the grade of O-5, or government civilian equivalent from the organization shown in Table A-1, serves as the FCB Secretary. The FCB Secretariat is organized at the discretion of the FCB Chair and performs FCB administrative duties as directed or delegated by the FCB Secretary. Duties include:
- <u>1</u>. Performing administrative duties as directed by the FCB Chair or Lead.
 - 2. Attending FCB and FCB WG meetings.
- $\underline{3}$. Scheduling meetings, taking minutes, tracking action items, and processing action items.

- <u>4</u>. Updating the KM/DS system with FCB schedules, documents, and presentations.
- <u>5</u>. Maintaining an FCB wiki site, accessible through the URL in reference c, to promulgate FCB information not otherwise provided in the KM/DS system.
- <u>6</u>. Coordinating with the JROC and JCB Secretariats to ensure JCB and JROC briefings and documents are received no later than 72 hours prior to the preparation sessions and/or actual forum.
- $\underline{7}$. Providing necessary continuity and a Joint Staff POC for the FCB.
- (e) <u>FCB Membership</u>. The FCB is comprised of representatives in the grade of O-6, or government civilian equivalent, from the Joint Staff, Services, CCMDs, and other DoD Components and organizations with equity in the capability requirement portfolio. Each organization will empower its respective representative to speak for it on all matters brought before the FCB.

(4) FCB WGs

- (a) <u>Role</u>. FCB WGs are one level below the FCBs and advise the FCBs on issues within the capability requirement portfolio(s), and perform other activities at the direction of the FCB Chair. Establishment of FCB WGs is at the discretion of the FCB Chair to most effectively carry out the responsibilities of the FCB. Duties include:
- $\underline{1}$. Conducting JCIDS process activities, including participation in the annual CGA, in accordance with references b and c.
- <u>2</u>. Providing initial review and assessment of capability requirement documents and issues within their designated capability requirement portfolios prior to review by the FCB.
 - <u>3</u>. Participating in JCD in accordance with reference n.
 - <u>4</u>. Providing other support as directed by the FCB Chair.
- (b) <u>FCB WG Lead</u>. A military officer in the grade of O-6, or government civilian equivalent from the organization shown in Table A-1, serves as the FCB WG Lead. For FCBs with multiple FCB WGs, the FCB Chair will determine if additional FCB WG Leads are necessary. Duties include:
- <u>1</u>. Assisting the FCB Chair in managing the capability requirement portfolio(s) associated with the JCA(s) assigned to the FCB WG.

This includes ensuring coordination of issues that impact the JCA(s) in capability requirement portfolios managed by other FCBs and FCB WGs.

- <u>2</u>. In coordination with the J-8/SAPCOORD, maintaining awareness of SAP/SAR protected efforts that impact capabilities within the JCA(s) assigned to the FCB WG.
- $\underline{3}$. In coordination with the Joint Staff Gatekeeper and Sponsor organizations, maintaining awareness of ACCM-protected efforts that impact capabilities within the JCA(s) assigned to the FCB WG.
 - 4. Overseeing FCB WG meetings.
- <u>5</u>. Confirming FCB WG context briefings and sponsor issue presentations are reviewed and prepared appropriately for presentation to the FCB, JCB, and JROC.
 - <u>6</u>. Coordinating FCB actions.
- <u>7</u>. Ensuring integration of Department-wide views, including those of appropriate SMEs from within the Joint Staff and other stakeholder organizations.
 - 8. Participating in FCB O-6 Integration Group meetings.
- (c) <u>FCB WG Membership</u>. The FCB WG is comprised of military, civilian, or contractor service support SMEs from the Joint Staff, Services, CCMDs, and other DoD Components and organizations with equity in the capability requirement portfolio. Each organization will ensure its respective representative has subject matter expertise on matters brought before the FCB WG.
- 2. <u>Other Related Organizations</u>. Several other organizations participate directly with the four levels of boards described above to effectively conduct the business of the JROC.

a. Joint Staff Gatekeeper

- (1) Role. The Joint Staff Gatekeeper duties include:
- (a) Conducting JCIDS process activities in accordance with references b and c.
- (b) Serving as the single point of entry for submission of all capability requirement documents and related issues, other than documents or

issues protected by SAP/SAR designation, for review by the JROC and subordinate boards.

- <u>1</u>. Coordinating with the J-8/SAPCOORD for documents or issues protected by SAP/SAR designation, submitted in accordance with reference s, to ensure J-8/DDR, FCB Chair, and select Action Officers (AOs) obtain appropriate access for review efforts.
- <u>2</u>. Coordinating with Sponsor organizations for documents or issues protected by ACCM designation to ensure Joint Staff Gatekeeper, J-8/DDR, FCB Chair, and select AOs obtain appropriate access for review efforts.
- <u>3</u>. Coordinating with the JROC Secretariat for JROC review of issues not related to capability requirements and submitted in accordance with procedures in reference c.
- (c) Archiving capability requirement documents/data and validation memorandums for future reference and visibility in the capability requirement portfolios.
- (d) Managing the KM/DS system and associated wiki sites used to support the JCIDS process and associated JROC activities.
- (e) Generating metrics related to JCIDS processes and posting to the KM/DS system for visibility.
- (f) Coordinating with the Associate Director of National Intelligence for Systems and Resource Analysis (ADNI/SRA) to facilitate a common Gatekeeper function together with the Joint Staff Gatekeeper for Military Intelligence Program (MIP) and National Intelligence Program (NIP)-funded Intelligence Community (IC) capabilities entering either the Intelligence Community Capability Requirements (ICCR) or JCIDS processes, as outlined in reference t.
- (g) Coordinating with the DCMO to facilitate a common Gatekeeper function for requirements related to DBS to ensure coordination between processes.
- (2) <u>Assignment</u>. The J-8/DDR serves as the Joint Staff Gatekeeper, with most day-to-day activities delegated to the Requirements Management Branch of J-8/JCD.
- b. <u>Independent Assessment Organizations</u>. Three divisions within J-8 contribute to assessments across all FCBs and topics of special interest to leadership:

- (1) J-8/Joint Requirements Assessment Division (J-8/JRAD). Conducts requirements-related assessments and contributes requirements expertise to the FCBs, JCB, and JROC as needed.
- (2) J-8/Capabilities and Acquisition Division (J-8/CAD). Conducts acquisition program related assessments and contributes acquisition expertise to the FCBs, JCB, and JROC as needed.
- (3) J-8/Program and Budget Analysis Division (J-8/PBAD). Conducts budget-related assessments and contributes budget expertise to the FCBs, JCB, and JROC as needed.

c. FCB General Officer/Flag Officer (GO/FO) Integration Group

- (1) Role. The FCB GO/FO Integration Group ensures cross-JCA integration of capabilities; identification of potential tradeoffs between capability areas; evaluation of the effectiveness of, and potential improvement to, the FCB core functions; and provides recommendations to JCB and JROC. The FCB GO/FO Integration Group generally meets on a biweekly schedule, alternating weeks with the FCB O-6 Integration Group.
- (2) <u>FCB GO/FO Integration Group Chair</u>. The J-8/DDR serves as the FCB GO/FO Integration Group Chair.

(3) Membership

- (a) Members of the FCB GO/FO Integration Group include the FCB Chairs, J-8/DDRA, and J-7/DDI, or their designated representatives. Services and advisors to JROC are invited to send GO/FO/SES-level representation to advise the GO/FO Integration Group.
- (b) The FCB GO/FO Integration Group Chair may convene executive sessions with only the primary members, and/or may invite other participants as needed for the issues under review/discussion.

d. FCB O-6 Integration Group

- (1) Role. The FCB O-6 Integration Group ensures cross-JCA integration of capabilities; identification of potential tradeoffs between capability areas; evaluation of the effectiveness of, and potential improvement to, the FCB core functions; and, provides recommendations to the FCB GO/FO Integration Group. The FCB O-6 Integration Group generally meets on a biweekly schedule, alternating weeks with the FCB GO/FO Integration Group.
- (2) <u>FCB O-6 Integration Group Chair</u>. The Chief, J-8/JCD serves as the FCB O-6 Integration Group Chair.

(3) Membership

- (a) Members of the FCB O-6 Integration Group include the FCB Leads, division chiefs under J-8/DDR, J-8/DDRA, and J-7/DDI, or their designated representatives. Services and advisors to JROC are invited to send O-6/GS-15-level representation to advise the O-6 Integration Group.
- (b) The FCB O-6 Integration Group Chair may convene executive sessions with only the primary members, and/or may invite other participants as needed for the issues under review/discussion.

e. JWSTAP

- (1) <u>Role</u>. The JWSTAP, established in accordance with reference u, advises the J-8/DDFP regarding weapons safety. Duties include:
- (a) Serving as a source of expert consultation for program sponsors and the J-8/DDFP regarding weapon safety requirements within the Joint Operating Environment (JOE). The JWSTAP is not a risk acceptance group, nor does it have authority to impose safety requirements.
- (b) Reviewing each capability requirement document for weapons or munitions to ensure weapon safety requirements are addressed with respect to life cycle management, including operation, storage, handling, transport, and destruction/de-mil.
- (c) Collaborating with program sponsors and the J-8/DDFP to develop possible solutions to issues with weapon safety requirements.
- (d) Coordinating with the DoD Explosives Safety Board established in reference v. Coordinating with the DoD Joint Weapon Safety and DoD Laser System Safety Working Groups established in reference w.
- (e) Nominating future JWSTAP Chairpersons for approval by the J-8/DDFP.
- (2) <u>JWSTAP Chair</u>. The JWSTAP Chair shall serve a 12-month term of service and may rotate among member organizations of the JWSTAP. Duties include:
 - (a) Establishing guidelines to govern operation of the JWSTAP.
- (b) Establishing procedures to rotate the JWSTAP Chair among the JWSTAP member organizations.

- (c) Serving as the primary JWSTAP POC for parties external to the JWSTAP.
- (d) Notifying members when a capability requirement document review is required and assigning a review suspense date to ensure that inputs are provided within established timeframes.
- (e) Developing and providing to the J-8/DDFP a WSE recommendation memorandum, and associated comment resolution matrix (CRM) if applicable, for each capability requirement document reviewed.
- (f) Maintaining all records of the JWSTAP review process and results in a JWSTAP safety review process archive.
- (3) <u>Membership</u>. Members of the JWSTAP include one primary and one alternate representative from the following organizations with subject matter expertise in areas of military operations (concept of employment within the JOE), weapon safety (handling, storage and transportation), and acquisition (design, development, test and evaluation):
 - (a) USD(AT&L)
 - (b) Director of Operational Test and Evaluation (DOT&E)
 - (c) DoD Explosives Safety Board (DDESB)
 - (d) Army
 - (e) Marine Corps
 - (f) Navy
 - (g) Air Force
 - (h) USSOCOM
 - (i) Coast Guard (invited on an "as-needed" basis)
 - f. Document Sponsor. Duties include:
- (1) Participating in JCIDS process activities in accordance with references b and c.
- (2) Submitting draft capability requirement documents to the Joint Staff Gatekeeper for assignment of JSD, regardless of proposed validation authority.

- (a) For documents or issues protected by ACCM designation, coordinating with the Joint Staff Gatekeeper to ensure appropriate personnel are accessed to the ACCM for review efforts.
- (b) For documents or issues protected by SAP/SAR designation, coordinating with the Sponsor Special Access Program Control Office (SAPCO) and J-8/SAPCOORD to ensure appropriate personnel are accessed to the SAP/SAR for review efforts.
- (3) When the Joint Staff Gatekeeper assigned JSDs indicates independent validation authority in accordance with reference b:
- (a) Using variations of the JCIDS process within their organizations to validate Sponsor-specific capability requirement documents.
- (b) Submitting final copies of all urgent and deliberate capability requirement documents and associated validation memorandums for information purposes and for visibility in the capability requirement portfolios.
 - g. Milestone Decision Authority. Duties include:
- (1) Participating in JCIDS process activities in accordance with references b and c.
- (2) Ensuring that knowledge gained from acquisition activities, in all phases, fully informs proposals to refine requirements and the development of successor capability requirement documents, while providing the best operational value to the Warfighter.
- h. <u>Advisory Support to the JROC and Subordinate Boards</u>. As expertise from outside the Joint Staff is essential throughout the JCIDS process to robustly review and validate capability requirements, the following advisors are assigned:
- (1) In accordance with reference a, the following officials serve as statutory advisors to JROC on matters within their authority and expertise:
- (a) Under Secretary of Defense for Acquisition, Technology and Logistics
 - (b) Under Secretary of Defense (Comptroller)
 - (c) Under Secretary of Defense for Policy
 - (d) Director, Cost Assessment and Program Evaluation

- (e) Director of Operational Test and Evaluation
- (f) Such other civilian officials of the Department as designated by the Secretary of Defense. To date, these include:
 - 1. Under Secretary of Defense for Intelligence
 - <u>2</u>. Under Secretary of Defense for Personnel and Readiness
 - 3. DoD Chief Information Officer
 - 4. Deputy Chief Management Officer
- <u>5</u>. Secretary of the Air Force, if delegated the role of Milestone Decision Authority (MDA) for Space Programs by USD(AT&L)
- (2) FCB participating organizations have a standing invitation to attend JROC-related meetings in an advisory role to the JROC Chairman, JCB Chairman, or FCB Chairs on issues that address present or future joint warfighting capabilities. These organizations include:
 - (a) Other Defense Agencies and Organizations
 - 1. National Geospatial-Intelligence Agency
 - <u>2</u>. Defense Information Systems Agency
 - <u>3</u>. National Security Agency/Central Security Service
 - 4. National Reconnaissance Office
 - <u>5</u>. Missile Defense Agency
 - 6. Defense Threat Reduction Agency
 - 7. Defense Intelligence Agency
 - <u>8</u>. Defense Security Cooperation Agency
 - 9. Defense Logistics Agency
 - 10. Defense Advanced Research Projects Agency
 - 11. Defense Contract Management Agency
 - 12. National Guard Bureau

- 13. Other Defense Agencies and Organizations
- (b) Interagency organizations with equity in defense capability requirements
 - 1. NSS
 - 2. Office of the Director of National Intelligence
 - 3. Office of Management and Budget
 - 4. Department of State
 - <u>5</u>. Department of Homeland Security
 - <u>6</u>. Other departments or agencies
- (3) In accordance with JROC direction, advisors are encouraged to provide their advice to the JROC's subordinate boards through delegates from their respective organizations.
- i. <u>Attendance Limitation</u>. To facilitate practical meeting participation, the JROC Secretariat may limit the number of representatives attending from each organization.

ENCLOSURE B

RESPONSIBILITIES

1. VCJCS

- a. Serves as the Chair of the JROC.
- b. Co-chairs, with USD(AT&L) and Director, CAPE, a periodic forum for discussion of issues impacting the JCIDS, Defense Acquisition System (DAS), and the Planning, Programming, Budgeting, and Execution (PPBE) processes that require decision or corrective action across authority domains.

2. Joint Staff

a. General

- (1) Participates in JCIDS process activities, including participation in the annual CGA, in accordance with references b and c.
- (2) Organizations sponsoring FCB Chairs as identified in Table A-1, acting through their respective FCB Chairs, will fully support their assigned FCBs with the necessary resources—people, tools and funding—to allow the FCBs to successfully function and complete their mission in accordance with references b and c.
- (3) Determines certification levels appropriate for individuals within the Joint Staff, using RMCT certification level guidelines.
- (a) Ensures individuals occupying all billets/positions accomplish required training consistent with RMCT certification level guidelines.
- (b) Ensures personnel involved in the development or oversight of, or selection of proposed staffing processes for, capability requirement documents during any phase of preparation and/or staffing have accomplished RMCT.
 - b. Director, Joint Staff J-1 Directorate for Manpower and Personnel (DJ-1)
 - (1) Serves as an advisor to JROC on manpower and personnel issues.
- (2) Provides SMEs to subordinate boards of the JROC to ensure review and consideration of manpower and personnel related issues for both materiel and non-materiel related solutions to capability requirements, including personnel to support the Human Capital Management (HCM) WG under the FS FCB.

- (3) Provides personnel and organization related inputs to the Joint Staff J-7 in support of the doctrine, organization, training, materiel, leadership and education, personnel, facilities, and policy (DOTMLPF-P) endorsement for capability requirement documents.
- (4) Ensures personnel and organizational implications related to validated capability requirements from JCIDS are coordinated through the Joint Manpower Validation Process.
 - c. Director, Joint Staff J-2 Directorate for Intelligence (DJ-2)
- (1) Serves as an advisor to JROC on intelligence supportability and intelligence interoperability issues, and serves as the principal lead for combined MIP/NIP-funded activities.
- (2) Provides SMEs to subordinate boards of the JROC to ensure review and consideration of intelligence and threat-related issues.
- (3) Approves policies, processes, and guidance related to intelligence certification in the JCIDS process.
- (4) Joint Staff J-2 Deputy Director for BA (J-2/DDJ28). Serves as the Chair and provides staff to support operations of the BA FCB.
- (a) Chief, Joint Staff J-2, Future Capabilities Division (J281/FCD). Serves as the WG Lead for the BA FCB.
- (b) J-281/FCD. Serves as the Secretariat for the BA FCB and executes other duties in support of the BA FCB Chair.
 - (5) J28 Intelligence Requirements Certification Office (J283/IRCO).
- (a) Acts on behalf of the DJ-2 and the J-2/DDJ28 as the lead intelligence entity within the Joint Staff for intelligence certification of capability requirement documents. Engages members of the IC during intelligence certification.
- (b) Provides intelligence certification of capability requirement documents when assigned responsibilities in accordance with reference c, ensuring completeness, supportability, and awareness of impact to intelligence strategy, policy, and architecture planning.
- (c) Coordinates with DIA for threat assessment of capability requirement documents when assigned responsibilities in accordance with reference c.

- (d) Collaborates with the BA FCB and its associated FCB WG on intelligence issues identified that affect the BA FCB.
- (e) Convenes Intelligence Certification Working Group (ICWG) comprised of J-25, J-26, J-28, OUSD(AT&L), OUSD(I), DIA Intelligence Mission Data Center (IMDC), NRO, NSA/CSS, NGA, Services and other agencies as required to facilitate review, coordination and recommendation for intelligence supportability. Convenes the ICWG monthly, or as required, to address intelligence mission data (IMD), intelligence supportability, and threat assessment issues in support of intelligence certification.
- (f) As ICWG and BA WG membership will often overlap, periodically conducts ICWG meetings in conjunction with the weekly BAWG to present J283/IRCO review status and pass issues of significance to the ICWG.
- (g) Recommends policy and guidance to JROC concerning the intelligence certification process and on intelligence supportability issues, as appropriate.
 - d. Director, Joint Staff J-3 Directorate for Operations (DJ-3)
- (1) Serves as an advisor to JROC on operations issues, including operational context for capability requirements.
- (2) On behalf of CJCS, serves as the sponsor for capability requirements related to the National Military Command System (NMCS) in accordance with reference x.
- (3) Coordinates interaction and flow of information between the Global Force Management (GFM) processes and the JCIDS process to best satisfy the needs of the joint force.
 - e. Director, Joint Staff J-4 Directorate for Logistics (DJ-4)
 - (1) Serves as an advisor to JROC on logistics issues.
- (2) Provides SMEs to subordinate boards of the JROC to ensure review and consideration of logistics-related capability requirement documents.
- (a) Joint Staff J-4/Maintenance Division (J-4/MXD). Provides review, with analytical support from the DASD for Materiel Readiness, and endorsement of the Sustainment KPP when assigned responsibilities in accordance with reference c.
 - (b) Joint Staff J-4/Engineering Division (J-4/ED)

- <u>1</u>. Provides review, with analytical support from the Office of the Assistant Secretary of Defense for Operational Energy Plans and Programs (OASD(OEPP)), and endorsement of the Energy KPP when assigned responsibilities in accordance with reference c.
- <u>2</u>. Provides review of facilities implications to the Joint Staff J-7, Joint Integration Branch (J-7/JIB) in support of the DOTMLPF-P endorsement when assigned responsibilities in accordance with reference c.
- (c) Provides facilities-related inputs to the Joint Staff J-7 in support of the DOTMLPF-P endorsement for capability requirement documents.
- (3) Joint Staff J-4, Deputy Director for Strategic Logistics (J-4/DDSL). Serves as the Chair of and provides staff to support operations of the LOG FCB, including endorsement of, or waiver to, the Sustainment and Energy KPPs.
- (a) Chief, Joint Staff J-4, Capabilities Division (J-4/CD). Serves as the WG Lead for the LOG FCB.
- (b) J-4/CD. Serves as the Secretariat for the LOG FCB and executes other duties in support of the LOG FCB Chair.
- (4) Joint Staff J-4, Joint Staff Surgeon. Provides advice to the Health Readiness (HR) WG under the FS FCB related to the review of capability requirement documents.
 - f. Director, Joint Staff J-5 Directorate for Strategic Plans and Policy (DJ-5)
- (1) Serves as an advisor to JROC on plans and policy issues, including operational context for capability requirements.
- (2) Provides SMEs to subordinate boards of the JROC to ensure review and consideration of operational context as well as plans and policy-related issues.
- (3) Provides policy-related inputs to the Joint Staff J-7 in support of the DOTMLPF-P endorsement for capability requirement documents.
- (4) Ensures engagement with the JROC and subordinate boards to facilitate requirements-related data informing the development of the CPR, CPA, and CRA.
- g. Director, Joint Staff J-6 Directorate for Command, Control, Communications, and Computers/Cyber (DJ-6)
 - (1) Serves as an advisor to JROC on C4/Cyber issues.

- (2) Provides SMEs to subordinate boards of the JROC to ensure review and consideration of C4/Cyber-related issues.
- (3) Joint Staff J-6, Deputy Director for Command, Control, Communications, Computers, and Cyber Integration (J-6/DDC5I)
- (a) Reviews ICDs, DOTMLPF-P Change Recommendations (DCRs), CDDs, Capability Production Documents (CPDs), Concept of Operations (CONOPS), and Information Support Plans (ISPs) for Command and Control (C2) interoperability, integration, and sustainability, and provide recommendations and comments.
- (b) Maintains the Joint Common System Function List (JCSFL) at the URL in reference y, providing a common lexicon of Warfighter system functionality, for use in reference and solution architectures required for capability requirement documents and ISPs. Coordinates JCSFL updates with the Services and capability developers.
- (c) Directs Joint Mission Thread (JMT) Architecture and Test Working Group development activities on JMTs to provide decomposition of the mission elements necessary to support expeditious and efficient joint force mission and capability analysis.
- (d) Conducts C2 interoperability assessments on the selected Information System (IS). These assessments do not replace the joint interoperability test certification; however, Joint Interoperability Test Command (JITC) may elect to use J-6 DDC4 assessment results to issue the joint interoperability test certification.
- (e) Maintains the Command and Control On-the-Move (C2OTM) reference architecture at the URL in reference z, to inform Sponsors, program managers, and capability developers that are developing C2OTM capabilities for commanders at the operational and tactical level.
- (f) Together with architecture federation partners, leads development of Web service-enabled technical solutions to consume and expose baseline architectures and data from a federated set of architecture repositories.
- (g) Manages, verifies, and tracks exposure of authoritative data sources supporting net-enabled Warfighter capabilities leveraging NR KPP documentation. Reports the authoritative data source exposure progress to the JROC and DoD CIO.

- (h) Reviews and analyzes NR KPP architectures, KPPs, Key System Attributes (KSAs), and capabilities for interoperability and integration and provide a certification recommendation.
- (i) Manages, verifies, and tracks exposure of C2 and non-C2 system bit-level data implementation using the Interoperability Enhancement Process supporting net-enabled Warfighter capabilities leveraging NR KPP documentation. Reports the bit-level implementation progress, as annotated in the DoD Information Enterprise Architecture DIV-3, to the C4/Cyber FCB.
- (4) Joint Staff J-6, Deputy Director for C4/Cyber (J-6/DDC4Cyber). Serves as the Chair of and provides staff to support operations of the C4/Cyber FCB.
- (a) Chief, Joint Staff J-6, C4/Cyber Division (J-6/C4CD). Serves as the WG Lead for the C4/Cyber FCB.
- (b) J-6/C4CD. Serves as the Secretariat for the C4/Cyber FCB, and executes other duties in support of the C4/Cyber FCB Chair.
- (c) Reviews all capability requirement documents, including IC and DBS documents, in the KM/DS system and ISPs in the DoD CIO repository for NR KPP certification requirements in accordance with references b, c, and aa.
- <u>1</u>. Reviews ICDs, DCRs, CONOPs, architecture products, and DBS documents to validate current DoD Architecture Framework (DoDAF) architecture data or the optional NR KPP Architecture Data Assessment Template and spectrum requirements via the KM/DS system.
- <u>2</u>. Confirms, through current DoDAF architecture data or the optional NR KPP Architecture Data Assessment Template, whether the IS has joint interfaces or joint information exchanges and requires NR KPP certification.
- <u>3</u>. Provides a NR KPP certification memo for CDDs and CPDs, after certifying the NR KPP.
- <u>4</u>. Determines whether IS recommendations as part of capability requirement portfolio management, network operations (NetOps) for the Global Information Grid (GIG) direction in reference bb, and GIG 2.0 goals and characteristics in reference cc, were reviewed and included.
- (d) Staffs capability requirement documents, including IC and DBS documents, to the Services, CCMDs, and other DoD Components when applicable for NR KPP certification determination. Provides comments, and the

NR KPP certification memo when applicable, to the KM/DS system in accordance with references b and c.

- (e) Provides the Joint Staff NR KPP ISP review to DoD CIO for Acquisition Category I, Office of the Secretary of Defense (OSD) Special Interest, and DoD CIO special interest programs according to reference aa for their final acceptance or rejection.
- (f) Coordinates NR KPP policies, procedures, and programs with the Services, CCMDs, and other DoD Components.
 - (g) Maintains the NR KPP Wiki page at the URL in reference dd.
 - h. Director, Joint Staff J-7 Directorate for Joint Force Development (DJ-7)
- (1) Serves as an advisor to JROC for doctrine, training, education, and non-materiel issues and capability solutions.
- (2) Provides personnel to support the JCB and each FCB for review of non-materiel issues and capability requirement documents, including personnel to support the Force Preparation (FP) WG under the FS FCB.
- (3) Provides the DOTMLPF-P endorsement for capability requirement documents, in coordination with inputs from J-1 for personnel implications, J-4 for facilities implications, J-5 for policy implications, and J-8, Forces Division (J-8/FD), for organization (with J-1 and J-5 support) and materiel implications.
- (4) In coordination with Sponsors and the FCBs, monitors and assesses joint concept implementation via capability requirement documents validated by the JCIDS process.
 - (5) J-7/Deputy Director for Integration
- (a) Serves as a source of consultation for Sponsors, FCBs, and J-8/DDR regarding DOTMLPF-P, both prior to document submittal and during the staffing process.
- (b) Reviews capability requirement documents to ensure DOTMLPF-P solutions are addressed in accordance with reference c.
- (c) J-7/JIB. Provides assessment and analysis support to the FCBs for non-materiel issues. Ensures integration of DOTMLPF-P-related data in FCB discussions and analyses.

- i. Director, Joint Staff J-8 Directorate for Force Structure, Resources, and Assessment (DJ-8)
 - (1) Serves as the Chair of the JCB and the Secretary of the JROC.
- (2) Participates in Special Operations Command Requirements Evaluation Board (SOCREB) reviews and validation discussions when topics involve impacts to the joint force.
 - (3) J-8/DDR
 - (a) General
- <u>1</u>. Serves as the Joint Staff Gatekeeper and Chair of the FCB GO/FO Integration Group.
- <u>2</u>. Ensures integration of data protected by SAP/SAR and/or ACCM designation into analysis of capability requirement documents and associated capability requirement portfolios. As needed, coordinates with J-8/SAPCOORD and DoD SAPCO to ensure timely read-in of appropriately cleared personnel.
- <u>3</u>. Serves as the RMCT Functional Advisor and member of the Functional Integrated Process Team (FIPT) Tri-Chair advisor panel described in reference ee.
- <u>a</u>. In consultation with the RMCT Functional Leader and the Defense Acquisition University (DAU), integrate updates to the descriptions of the certification levels and training courses in reference c as needed, with updates effective upon release.
- <u>b</u>. Together with the RMCT Functional Leader, approve competencies and certification requirements for DoD military and civilian personnel with responsibility for generating requirements.
- \underline{c} . Notify DoD Components to submit periodic requirements workforce status reports via Joint Staff Action Processing (JSAP) as outlined in reference c. The JSAP will provide instructions, a standardized format for submission, and the suspense for task completion.
- (b) Chief, J-8/JCD. Serves as the Chair of the FCB O-6 Integration group.
- (c) J-8/JCD. Carries out day-to-day Joint Staff Gatekeeper activities on behalf of J-8/DDR and serves as the JROC and JCB Secretariat. Coordinates the annual CGA activities.

- (d) J-8/JRAD. Provides assessment and analysis support to the FCBs, conducts specially directed and/or cross-cutting studies, and performs long-term studies to improve and better align requirements and other related process activities. Ensures integration of requirements-related data in FCB discussions and analyses. On behalf of J-8/DDR, supports J-8/SAPCOORD in integration of data protected by SAP/SAR and/or ACCM designation in capability requirement analyses.
- (4) J-8/DDRA. Serves as the joint military requirement liaison to OUSD(AT&L) and Component acquisition communities, and integrates the efforts of the Joint Staff during all phases of the PPBE process, analyzing and providing recommendations on requirements-related issues raised for decisions in the acquisition management and PPBE processes.
- (a) J-8/CAD. Provides program evaluations and assessment, systems acquisition policy matters and advice, acquisition documentation coordination, and coordinates support of Department-level acquisition review forums and boards. Ensures integration of acquisition-related data in FCB discussions and analyses.
- (b) J-8/PBAD. Provides program and budget analysis, assessment and reviews, and funding for ongoing or anticipated contingency operations. Coordinates cost and budget tradeoff analysis, and response to congressional matters that affect resource allocations, together with requirements and acquisition SMEs. Ensures integration of budget-related data in FCB discussions and analyses, and integration of FCB, J-8/JRAD, and J-8/CAD into the annual Program Budget Review activities.

(c) J-8/SAPCOORD

- <u>1</u>. Acts as the Joint Staff Gatekeeper for capability requirement documents or issues protected by SAP/SAR designation being reviewed by the JROC and subordinate boards.
- <u>2</u>. Consults with the J-8/DDR, DoD SAPCO, and Sponsor SAPCOs to ensure that appropriate personnel from the FCB(s) and other organizations are accessed to data protected by SAP/SAR designation for review efforts.
- <u>3</u>. Coordinates with the Joint Staff Gatekeeper and the JROC Secretariat to ensure that capability requirement documents or issues protected by SAP/SAR designation are scheduled for review and validation.
- (5) Joint Staff J-8, Deputy Director for Force Management, Application, and Support (J-8/DDFMAS).

- (a) Serves as the Chair of and provides staff to support operations of the FA and FS FCBs.
- (b) Chief, Joint Staff J-8, Force Support Division (J-8/FSD). Serves as the WG Lead for the FS FCB.
- (c) J-8/FSD. Serves as the Secretariat for the FS FCB and executes other duties in support of the FS FCB Chair.
- (d) Chief, Joint Staff J-8, Force Application Division (J-8/FAD). Serves as the WG Lead for the FA FCB.
- (e) J-8/FAD. Serves as the Secretariat for the FA FCB and executes other duties in support of the FA FCB Chair.
 - (f) J-8/FD.
- <u>1</u>. Provides organization-related inputs, with J-1 and J-5 support, and materiel-related inputs to the Joint Staff J-7 in support of the DOTMLPF-P endorsement for capability requirement documents.
- $\underline{2}$. Provides support to the Force Management (FM) WG under the FS FCB.
- <u>3</u>. Supports FCBs with force sufficiency information to balance capability requirements and quantities validated in JCIDS with force allocation, and shortfalls if applicable, in the GFM processes.
- (6) J-8/DDFP. Serves as the Chair of and provides staff to support operations of the Protection FCB, including endorsement of, or waiver to, the Force Protection and System Survivability KPPs. Also provides the WSE for all applicable capability requirement documents, oversees the activities of the JWSTAP, and approves nominations for Chair of the JWSTAP.
- (a) Chief, Joint Staff J-8 Force Protection Division (J-8/FPD). Serves as the WG Lead for the Protection FCB WG. Ensures that the Chair of the JWSTAP is notified of capability requirement documents in need of review for the WSE, and that the JWSTAP provides the WSE recommendation memo and associated comments back to the J-8/FPD in the required timeline.
- (b) J-8/FPD. Serves as the Secretariat for the Protection FCB and executes other duties in support of the Protection FCB Chair. Provides a J-8/FPD representative to the JWSTAP and reviews the JWSTAP WSE recommendation and associated comments, as well as Sponsor adjudication of WSE-related comments in support of the J-8/DDFP providing the WSE.

(7) J-8/DDJIAMDO

- (a) Serves as the co-chair of the Protection FCB for IAMD issues.
- (b) Joint Staff J-8, Joint Integrated Air and Missile Defense Organization, Warfighter Requirements Division (J-8/JIAMDO/WRD). Conducts the activities of the Air and Missile Defense (AMD) WG under the Protection FCB.
- (8) Joint Staff J-8, Joint Requirements Office for Chemical, Biological, Radiological, and Nuclear Defense (J-8/JRO-CBRND). Conducts the activities of the Countering Weapons of Mass Destruction (CWMD) WG under the Protection FCB.

3. OSD and related organizations

a. USD(AT&L)

- (1) Serves as an advisor to JROC and its subordinate boards.
- (2) Facilitates open communication and collaboration between Joint Staff, OUSD(AT&L), and CAPE personnel in pursuit of coordinated efforts within JCIDS, DAS, and PPBE processes.
- (3) Co-chairs, with VCJCS and Director, CAPE, a periodic forum for discussion of issues impacting the JCIDS, DAS, and PPBE processes that require decision or corrective action across authority domains.
- (4) Provides representation to subordinate boards of the JROC to support review and assessment of acquisition-related issues within capability requirement documents.

(5) ASD for Acquisition (ASD(A))

- (a) Where applicable, reviews capability requirement documents, provides acquisition-related advice to the JCB, FCBs, and FCB WGs, and ensures interaction and coordination with acquisition activities.
 - (b) Director, Joint Operations Support
- <u>1</u>. Acts as liaison between organizations involved in the JCIDS and DAS processes, ensuring the appropriate SMEs from each process are invited to participate in discussions regarding their equities.
 - 2. Serves as advisory representative to the JCB.

- <u>3</u>. Serves as the RMCT Functional Leader and FIPT Chair, and member of the FIPT Tri-Chair advisor panel described in reference ee.
- <u>a</u>. Ensures the RMCT program meets the needs of the DoD requirements development workforce.
- <u>b</u>. Together with the RMCT Functional Advisor, approves competencies and certification requirements for DoD military and civilian personnel with responsibility for generating requirements.
 - (c) Director, Land Warfare and Munitions
- <u>1</u>. Provides advice to FCBs and FCB WGs relating to weapon safety assurance and provides related input to the JWSTAP for WSE reviews.
- <u>2</u>. Facilitates Joint Staff participation in the Munitions Requirements Process (MRP), ensuring alignment between munitions capabilities and quantities addressed in the JCIDS and MRP processes.
- (6) ASD for Research and Engineering (ASD(R&E)). Reviews capability requirement documents and provides advice to FCBs and FCB WGs relating to:
- (a) Sufficiency of systems engineering tradeoff analysis to satisfy capability requirements and associated KPPs, KSAs, and additional performance attributes (APAs).
- (b) Feasibility, measurability, and testability of KPPs, KSAs, and APAs.
 - (c) Technology and manufacturing readiness.
 - (7) ASD for Logistics and Materiel Readiness
- (a) Where applicable, reviews capability requirement documents and provides advice to FCBs and FCB WGs relating to capability requirements and associated KPPs, KSAs, and APAs.
- (b) Coordinates with Joint Staff J-7 for review and endorsement of facilities aspects of the DOTMLPF-P endorsement associated with capability requirement documents.
- (c) DASD for Materiel Readiness. Provides analytical support to J-4/MXD for the review and endorsement of the Sustainment KPP in accordance with reference c.

- (d) DASD for Supply Chain Integration is invited to co-chair the LOG FCB.
 - (8) DUSD for Installations and Environment
- (a) Provides representation to the JWSTAP with subject matter expertise in weapons safety through the DoD Explosives Safety Board.
- (b) Provides infrastructure, facilities, and environment, safety, and occupational health expertise in support of capability requirement document reviews.
- b. ASD(OEPP). Provides analytical support to J-4/ED for review and endorsement of the Energy KPP when assigned responsibilities in accordance with reference c.
- c. OSD Joint Rapid Acquisition Cell. Collaborates with the Joint Staff Gatekeeper and FCBs in the review of proposed Joint Urgent Operational Needs (JUONs) and Joint Emergent Operational Needs (JEONs) prior to validation.

d. Director, CAPE

- (1) Serves as an advisor to JROC and its subordinate boards.
- (2) Facilitates open communication and collaboration between Joint Staff, OUSD(AT&L), and CAPE personnel in pursuit of coordinated efforts within JCIDS, DAS, and PPBE processes.
- (3) Co-chairs, with VCJCS and USD(AT&L), a periodic forum for discussion of issues impacting the JCIDS, DAS, and PPBE processes that require decision or corrective action across authority domains.
- (4) Provides representation to subordinate boards of the JROC to support review and assessment of resourcing-related issues within capability requirement documents.
- (5) Coordinates with validation authorities to ensure that scope of guidance for analysis of alternatives includes potential discriminators such as requirements related to intelligence certification, weapon safety assurance, and mandatory KPPs for alternatives under consideration.
 - e. USD(C). Serves as an advisor to JROC and its subordinate boards.

f. USD(I)

(1) Serves as an advisor to JROC and its subordinate boards.

- (2) Facilitates the common gatekeeping function between JCIDS and ICCR processes by:
- (a) Ensuring all IC capability requirement documents originated under the ICCR process and executing, proposing to execute, or likely to execute MIP funding, are provided to the Joint Staff Gatekeeper or J-8/SAPCOORD for visibility and/or staffing.
- (b) Ensuring all capability requirement documents originated under the JCIDS process and affecting IC equities are provided to the Gatekeeper of the ICCR process for visibility and/or staffing.
- (3) Where applicable, reviews capability requirement documents and provides advice to FCBs and FCB WGs relating to capability requirements and associated KPPs, KSAs, and APAs.

g. USD(P)

- (1) Serves as an advisor to JROC and its subordinate boards.
- (2) Coordinates with Joint Staff J-7 for review and endorsement of policy and doctrine aspects of the DOTMLPF-P endorsement associated with capability requirement documents.

h. USD(P&R)

- (1) Serves as an advisor to JROC and its subordinate boards.
- (2) Coordinates with Joint Staff J-7 for review and endorsement of organization, training, personnel, leadership, and education aspects of the DOTmLPF-P endorsement associated with capability requirement documents.
- (3) Coordinates with Protection FCB for review and endorsement of the Force Protection KPP associated with capability requirement documents.

i. DOT&E

- (1) Serves as an advisor to JROC and its subordinate boards.
- (2) Provides representation to the JWSTAP with subject matter expertise in weapons safety.
- (3) Coordinates with FCBs and FCB WGs during staffing of capability requirement documents to ensure that KPP, KSA, and APA values are measurable and testable in their associated operational contexts.

j. DCMO

- (1) Serves as an advisor to JROC and its subordinate boards.
- (2) Facilitates the common gatekeeping function for DBS documents by:
- (a) Ensuring all DBS documents are provided to the Joint Staff Gatekeeper for visibility and/or staffing.
- (b) Ensuring all capability requirement documents originated under the JCIDS process that affect DCMO equities are provided to DCMO for visibility and/or staffing.
- (3) Investment Review Board. Executes independent validation authority for DBS requirements when the Joint Staff Gatekeeper does not assign a JSD of JCB Interest or JROC Interest.

k. DoD CIO

- (1) Serves as an advisor to JROC and its subordinate boards.
- (2) Where applicable, reviews capability requirement documents and provides advice to FCBs and FCB WGs relating to capability requirements and associated KPPs, KSAs, and APAs.
- 1. DOD SAPCO. As needed, coordinates with J-8/DDR and J-8/SAPCOORD to ensure timely read-in of appropriately cleared personnel, facilitating integration of SAP/SAR protected data into analysis of capability requirement documents and associated capability requirement portfolios.

m. DAU

- (1) Serves as an advisor on the subject of training the requirements workforce.
- (2) Develops, fields, administers, and maintains courses of instruction for RMCT.
- (3) Conducts periodic FIPTs, composed of SMEs (Component Appointed Representatives (CARs), Component members/advisors, others as the FIPT Chair may deem appropriate), to ensure training courses are properly matched to certification levels, and take part in course content reviews.
- (4) DAU Vice-President is a member of the FIPT Tri-Chair advisor panel described in reference ee.

4. Services

- a. Participate in JCIDS process activities, including participation in the annual CGA, in accordance with references b and c, and support of joint concept implementation in support of reference n.
- (1) Ensure that POCs with appropriate expertise and scope of responsibilities are designated for participation in the JROC and subordinate boards, as well as related JCIDS certification and endorsement activities.
- (2) Identify a POC who has direct access to the Service's representative to the JROC and can facilitate communications regarding JROC and JCIDS matters, and provide contact information to the JROC Secretariat.
- (3) Sponsor Capabilities Based Assessments (CBAs) and other studies or analyses to determine Service or joint capability requirements and associated capability gaps in accordance with reference c. Provide to the Joint Staff Gatekeeper study initiation notices and study results for any studies intended for or likely to support follow-on capability requirement documents.
- (4) Draft capability requirement documents for review and validation in the JCIDS process.
- (a) Submit draft documents, in accordance with formats outlined in reference c, to the Joint Staff Gatekeeper for assignment of JSD prior to staffing, regardless of proposed validation authority.
- (b) When the Joint Staff Gatekeeper assigns JSDs of Joint Integration or Joint Information, indicating independent validation authority in accordance with reference b:
- <u>1</u>. Use variations of the JCIDS process within their organizations to validate Service-specific capability requirement documents.
- <u>2</u>. Submit final copies of all Service-validated urgent and deliberate capability requirement documents and updates, and associated validation memoranda, for information purposes and for visibility in the capability requirement portfolios.
- (5) Provide a primary and alternate representative to the JWSTAP, with subject matter expertise in munitions life cycle management, including areas of military operations (concept of employment within the JOE), weapon safety (handling, storage, transport, and destruction/de-mil), and acquisition (design, development, test and evaluation). Also provide representatives with management support, resources, and funding to ensure effective safety reviews of capability requirement documents related to weapons and munitions.

- (6) Support Intelligence Certification by designating a POC to serve as a focal point for Service coordination and collaboration in the intelligence certification of capability requirement documents; reviewing the intelligence support and intelligence-related operational requirements specified in (or derived from) capability requirement documents and the Life-Cycle Mission Data Plan (LMDP); providing assessments with regard to Service-unique contributions and perspectives; and participating in ICWGs, as requested, to provide advice and expertise on the intelligence-related operational requirements of concern to the Service.
- (7) Support training of requirements workforce personnel in accordance with reference c, and the following responsibilities:
- (a) Designate an office of primary responsibility and name a primary and alternate CAR.
- (b) Identify and code key military and civilian requirements billets/positions in accordance with reference ee.
- (c) Determine certification levels and associated training appropriate for individuals within the Component, using RMCT certification level guidelines.
- <u>1</u>. Ensure individuals occupying all requirements management billets/positions accomplish required training consistent with RMCT certification level guidelines.
- <u>2</u>. Ensure personnel involved in the development or oversight of, or selection of proposed staffing processes for, capability requirement documents during any phase of preparation and/or staffing have accomplished RMCT.
- (d) When notified by JSAP, submit requirements workforce status reports.
- b. Sponsor materiel acquisition programs to develop capability solutions satisfying validated and prioritized capability requirements as directed by an authorized MDA. In cases of joint validation of requirements, the requirement sponsor may be different than the solution sponsor directed to develop a capability solution.
- c. Oversee integration of DOTMLPF-P changes to implement non-materiel solutions satisfying validated and prioritized capability requirements as directed by the validation authority.

d. As requested, ensure Service Vice Chief and Service Acquisition Executive participate in a periodic forum, co-chaired by VCJCS, USD(AT&L), and D,CAPE, for discussion of issues impacting the JCIDS, DAS, and PPBE processes that require decision or corrective action across authority domains.

5. CCMDs

- a. Participate in JCIDS process activities, including participation in the annual CGA, in accordance with references b and c, and support of joint concept implementation in support of reference n.
- (1) Ensure that POCs with appropriate expertise and scope of responsibilities are designated for participation in the JROC and subordinate boards, as well as related JCIDS certification and endorsement activities.
- (2) Identify a POC who has direct access to the CCMD's representative to the JROC and can facilitate communications regarding JROC and JCIDS matters, and provide contact information to the JROC Secretariat.
- (3) Sponsor CBAs and other studies to determine joint capability requirements and associated capability gaps in accordance with reference c. Provide to the Joint Staff Gatekeeper study initiation notices and study results for any studies intended for or likely to support follow-on capability requirement documents.
- (4) Draft capability requirement documents for review and validation in the JCIDS process.
- (a) Submit draft documents, in accordance with formats outlined in reference c, to the Joint Staff Gatekeeper for assignment of JSD prior to staffing, regardless of proposed validation authority.
- (b) (USSOCOM only) When the Joint Staff Gatekeeper assigns JSDs indicating independent validation and/or certification/endorsement authority in accordance with reference b:
- <u>1</u>. Use variations of the JCIDS process within USSOCOM to validate Special Operations-Peculiar (SO-P) capability requirement documents. Designate the USSOCOM SOCREB as the Special Operations JCB to manage and approve SO-P capability requirement documents in accordance with independent validation authorities identified in reference b.
- <u>2</u>. Where independent certification/endorsement authorities are indicated for USSOCOM as outlined in reference b, include representatives from Joint Staff certification/endorsement organizations in USSOCOM certification/endorsement reviews.

- <u>3</u>. Invite DJ-8 or representative in SOCREB reviews and validation discussions.
- <u>4</u>. Submit final copies of all USSOCOM-validated urgent and deliberate capability requirement documents and updates, and associated certification/endorsement and validation memoranda, for information purposes and for visibility in the capability requirement portfolios.
- (5) (USSOCOM Only) Provide a primary and alternate representative to the JWSTAP, with subject matter expertise in munitions life cycle management, including areas of military operations (concept of employment within the JOE), weapon safety (handling, storage, transport, and destruction/de-mil), and acquisition (design, development, test and evaluation). Also provide representatives with management support, resources, and funding to ensure effective safety reviews of capability requirement documents for weapons and munitions.
- (6) Support Intelligence Certification by: designating a POC to serve as a focal point for coordination and collaboration in the intelligence certification of capability requirement documents; reviewing the intelligence support and intelligence-related operational requirements specified in or derived from capability requirement documents and the LMDP; providing assessment with regard to the unique perspective of the respective command; and participating in ICWGs, as requested, to provide advice and expertise on the intelligence-related operational requirements of concern to the command.
- (7) Support training of requirements workforce personnel in accordance with reference c, and the following responsibilities:
- (a) Designate an office of primary responsibility and name a primary and alternate CAR.
- (b) Identify and code key military and civilian requirements billets/positions in accordance with reference ee.
- (c) Determine certification levels and associated training appropriate for individuals within the Component, using RMCT certification level guidelines.
- <u>1</u>. Ensure individuals occupying all requirements management billets/positions accomplish required training consistent with RMCT certification level guidelines.
- $\underline{2}$. Ensure personnel involved in the development or oversight of, or selection of proposed staffing processes for, capability requirement

documents during any phase of preparation and/or staffing have accomplished RMCT.

- (d) When notified by JSAP, submit requirements workforce status reports.
- b. (USSOCOM only) Sponsor materiel acquisition programs to develop capability solutions satisfying validated and prioritized capability requirements as directed by an authorized MDA. In cases of joint validation of requirements, the Requirement Sponsor may be different than the Solution Sponsor directed to develop a capability solution.
- c. Oversee integration of DOTMLPF-P changes to implement non-materiel solutions satisfying validated and prioritized capability requirements as directed by the validation authority.
- d. (USSOCOM only) As requested, ensure USSOCOM Vice Commander and Acquisition Executive participate in a periodic forum, co-chaired by VCJCS, USD(AT&L), and Director, CAPE, for discussion of issues impacting the JCIDS, DAS, and PPBE processes that require decision or corrective action across authority domains.

6. Other DoD Components

a. General

- (1) If component equities are addressed, participate in JCIDS process activities, including participation in the annual CGA, in accordance with references b and c, and support of joint concept implementation in support of reference n.
- (a) Ensure that POCs with appropriate expertise and scope of responsibilities are designated for participation in the JROC and subordinate boards, as well as related JCIDS certification and endorsement activities.
- (b) Identify a POC who has direct access to the DoD Component's representative to the JROC and can facilitate communications regarding JROC and JCIDS matters, and provide contact information to the JROC Secretariat.
- (c) Sponsor CBAs and other studies to determine component or joint capability requirements and associated capability gaps in accordance with reference c. Provide to the Joint Staff Gatekeeper study initiation notices and study results for any studies intended for or likely to support follow-on capability requirement documents.

- (d) Draft capability requirement documents for review and validation in the JCIDS process.
- <u>1</u>. Submit draft documents, in accordance with formats outlined in reference c, to the Joint Staff Gatekeeper for assignment of JSD prior to staffing, regardless of proposed validation authority.
- <u>2</u>. When the Joint Staff Gatekeeper assigns JSDs of Joint Integration or Joint Information, indicating independent validation authority in accordance with reference b:
- <u>a</u>. Use variations of the JCIDS process within their organizations to validate component-specific capability requirement documents.
- <u>b</u>. Submit final copies of all Component-validated capability requirement documents and updates, and associated validation memoranda, for information purposes and for visibility in the capability requirement portfolios.
- (e) Support training of requirements workforce personnel in accordance with reference c, and the following responsibilities:
- $\underline{1}$. Designate an office of primary responsibility and name a primary and alternate CAR.
- $\underline{2}$. Identify and code key military and civilian requirements billets/positions in accordance with reference ee.
- <u>3</u>. Determine certification levels and associated training appropriate for individuals within the Component, using RMCT certification level guidelines.
- \underline{a} . Ensure individuals occupying all requirements management billets/positions accomplish required training consistent with RMCT certification level guidelines.
- \underline{b} . Ensure personnel involved in the development or oversight of, or selection of proposed staffing processes for, capability requirement documents during any phase of preparation and/or staffing have accomplished RMCT.
- <u>4</u>. When notified by JSAP, submit requirements workforce status reports.

- b. For components with acquisition authority, sponsor materiel acquisition programs to develop capability solutions satisfying validated and prioritized capability requirements as directed by an authorized MDA. In cases of joint validation of requirements, the requirement sponsor may be different than the solution sponsor directed to develop a capability solution.
- c. Oversee integration of DOTMLPF-P changes to implement non-materiel solutions satisfying validated and prioritized capability requirements as directed by the validation authority.
- d. As requested, ensure Component Vice Chief and Component Acquisition Executive (CAE) participate in a periodic forum, co-chaired by VCJCS, USD(AT&L), and Director, CAPE, for discussion of issues impacting the JCIDS, DAS, and PPBE processes that require decision or corrective action across authority domains.
- e. For organizations represented on the JWSTAP, provide a primary and alternate representative to the JWSTAP, with subject matter expertise in munitions life cycle management, including areas of military operations (concept of employment within the JOE), weapon safety (handling, storage, transport, and destruction/de-mil), and acquisition (design, development, test and evaluation). Also provide representatives with management support, resources, and funding to ensure effective safety reviews of capability requirement documents related to weapons and munitions.
 - f. The following components support intelligence certification:
 - (1) Director, DIA
- (a) Provides intelligence support and advises the JROC and supporting organizations on adversary capabilities, in support of the JCIDS process and in accordance with reference ff. Designates an appropriate POC to:
- $\underline{1}$. Serve as the focal point for DIA coordination and collaboration in the intelligence certification of capability requirement documents.
- $\underline{2}$. Coordinate DIA participation in ICWGs, as requested, to provide advice and expertise on applicable intelligence support to the operational requirements in capability requirement documents.
- <u>3</u>. Submit DIA intelligence-related comments resulting from review of capability requirement documents to the KM/DS system.
 - (b) Ensures appropriate DIA organizations:

- $\underline{1}$. Review capability requirement documents for threat information, ensuring documents refer to current DIA- or Service-approved threat products.
- <u>2</u>. Review intelligence support and intelligence-related operational requirements specified in, or derived from, capability requirement documents for completeness, supportability, potential shortfalls, and impact to intelligence strategy, policy, and architecture planning.
- <u>3</u>. Review capability requirement documents for IMD requirements, identify production and/or sharing opportunities across acquisition programs and operational systems, and provide an assessment of IMD availability to support program specific IMD needs in accordance with reference gg.
- <u>4</u>. Review capability requirement documents for intelligence network support and interoperability requirements, information assurance, and information security protocols.
- <u>5</u>. Provide the J283/IRCO with comments and recommendations for DoD-wide collaboration, with specific regard to DIA-unique contributions.

(c) Director, NGA

- <u>1</u>. Provides intelligence support and advises the JROC and supporting organizations as the DoD functional manager for GEOINT, in accordance with reference hh. Designates a POC who:
- <u>a</u>. Serves as a focal point for NGA coordination and collaboration in the intelligence certification of capability requirement documents.
- <u>b</u>. Participates in ICWGs, as requested, to provide advice and expertise on GEOINT support to the operational requirements in capability requirement documents.
- $\underline{c}.$ Posts NGA intelligence-related comments and suggestions resulting from review of capability requirement documents to the KM/DS system.

2. Ensures appropriate NGA organizations:

<u>a</u>. Review intelligence support requirements in capability requirement documents for completeness, supportability, and impact on GEOINT strategy, policy, and architecture planning.

- \underline{b} . Evaluate open systems architectures, interoperability, and compatibility standards for GEOINT-related IS.
- <u>c</u>. Provide J283/IRCO with comments and recommendations for DoD-wide collaboration, with specific regard to NGA-unique contributions.
- 3. In support of certification or endorsement of intelligence supportability and/or the NR KPP, in accordance with authorities directed in reference ii, ensures that capability requirement documents include appropriate criteria, measures, and requirements related to technical architectures and standards.

(d) Director, NSA/CSS

- <u>1</u>. Provides intelligence support and advises the JROC and supporting organizations as the DoD Functional Manager for Signals Intelligence (SIGINT) in accordance with reference jj. Designates a POC who:
- <u>a</u>. Serves as a focal point for NSA/CSS coordination and collaboration in intelligence certification of capability requirement documents.
- <u>b</u>. Participates in ICWGs, as requested, to provide advice and expertise on cryptologic support, including SIGINT, to the operational requirements in capability requirement documents.
- <u>c</u>. Posts NSA/CSS intelligence-related comments and suggestions resulting from review of capability requirement documents to the KM/DS system.

2. Ensures appropriate NSA/CSS organizations:

- <u>a</u>. Review intelligence support and intelligence-related operational requirements specified in, or derived from capability requirement documents for completeness, supportability, and projected impact on SIGINT and IA strategy, policy, and architecture planning.
- <u>b</u>. Evaluate open systems architectures, interoperability, and compatibility standards for cryptologic and cryptologic support systems to include multiple-source intelligence (multi-INT) and cross-cueing capabilities.
- <u>c</u>. Provide J283/IRCO with comments and recommendations for DOD-wide collaboration, with specific regard to NSA/CSS-unique contributions.

(e) Director, NRO

- <u>1</u>. Provides intelligence support and advises the JROC and supporting organizations on subjects related to space based SIGINT, Imagery Intelligence (IMINT), and MASINT. Designates a POC who:
- <u>a</u>. Serves as a focal point for NRO coordination and collaboration in intelligence certification of capability requirement documents.
- <u>b</u>. Participates in ICWGs, as requested, to provide advice and expertise on operational requirements in capability requirement documents.
- \underline{c} . Posts NRO intelligence-related comments and suggestions resulting from review of capability requirement documents to the KM/DS system.

2. Ensures appropriate NRO organizations:

- <u>a</u>. Review intelligence support and intelligence related operational requirements specified in, or derived from, capability requirement documents for completeness, supportability, and impact to space situational awareness, space force enhancement, space support, space control, and space force application, and support intelligence strategy, policy, and architecture planning.
- <u>b</u>. Provide J283/IRCO with comments and recommendations for DoD-wide collaboration, with specific regard to NRO-unique contributions.

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ENCLOSURE C

REFERENCES

- a. Title 10, U.S.C., Section 181, "Joint Requirements Oversight Council"
- b. CJCSI 3170.01 Series, "Joint Capabilities Integration and Development System"
- c. JCIDS Manual, "Manual for the Operation of the Joint Capabilities Integration and Development System," on NIPRNET at https://www.intelink.gov/wiki/JCIDS_Manual, and on SIPRNET at http://www.intelink.sgov.gov/wiki/JCIDS_Manual (last accessed 28 January 2015)
- d. Title 10, U.S.C., Section 151, "Joint Chiefs of Staff: Compositions; Functions"
- e. Title 10, U.S.C., Section 153, "Chairman: Functions"
- f. CJCSI 5714.01 Series, "Policy for the Release of Joint Information"
- g. Title 31, U.S.C., Section 712, "Investigating the Use of Public Money"
- h. Title 31, U.S.C., Section 717, "Evaluating Programs and Activities of the United States Government"
- i. DoDI 5400.04, "Provision of Information to Congress," 17 March 2009
- j. Secretary of Defense Memorandum, "Requests for Records from Members of Congress," 30 June 2010
- k. Secretary of Defense Memorandum, "Secretary of Defense Guidance on Responding to Members of Congress," 19 June 2013
- 1. KM/DS System, Version 2. On SIPRNET https://jrockmdsbpm.js.smil.mil, (last accessed 28 January 2015)
- m. KM/DS Wiki. On SIPRNET at http://www.intelink.sgov.gov/wiki/Portal: JROC_KMDS_Knowledge_Management_and_Decision_Support (last accessed 28 January 2015)
- n. CJCSI 3010.02 Series, "Guidance for Development and Implementation of Joint Concepts"

- o. PDUSD(P) and DJS memorandum, "Joint Capability Area (JCA) 2010 Refinement," 8 April 2011
- p. JROCM 054-08, "Functional Capabilities Boards Rebaseline," 4 March 2008
- q. JROCM 039-11, "Formation of Command and Control and Net-Centric Functional Capabilities Board," 18 March 2011
- r. JROCM 064-11, "C4/Cyber Functional Capabilities Board Establishment," 29 April 2011
- s. CJCSI 5250.01 Series, "Special Access Program (SAP) Policy"
- t. DJ-8 and ADNI/SRA memorandum, "Procedures for a Common Intelligence Community Capability Requirements (ICCR) Joint Capabilities Integration and Development System (JCIDS) Gatekeeper Function," 31 July 2013
- u. JROCM 102-05, "Safe Weapons in Joint Warfighting Environments," 20 May 2005
- v. DoDD 6055.9E, "Explosives Safety Management and the DoD Explosives Safety Board," 19 August 2005
- w. DoDI 5000.69, "DOD Joint Services Weapon and Laser System Safety Review Processes," 9 November 2011
- x. CJCSI 3280.01 Series, "(U) National Military Command System (NMCS)"
- y. JCSFL Portal on NIPRNET at https://www.intelink.gov/wiki/JCSFL and on SIPRNET at http://www.intelink.sgov.gov/wiki/Joint-Common_Systems_Function_List 1 (last accessed 28 January 2015)
- z. C2OTM Reference Architecture on SIPRNET at http://www.intelink.sgov.gov/wiki/(C2OTM) (last accessed 28 January 2015)
- aa. DoDI 8330.01, "Interoperability of Information Technology (IT), Including National Security Systems (NSS)," 21 May 2014
- bb. DoDI 8410.02, "NETOPS for the Global Information Grid," 19 December 2008
- cc. JROCM 095-09, "Global Information Grid 2.0 Initial Capabilities Document"

- dd. Net-Ready Key Performance Parameter (NR KPP) Wiki. On NIPRNET https://www.intelink.gov/wiki/Net_Ready_Key_Performance_Parameter _(NR_KPP)_Manual
- ee. VCJCS and USD(AT&L) memorandum, 25 May 2012, "Requirements Management Certification Training (RMCT) Program"
- ff. DoDD 5105.21, "Defense Intelligence Agency (DIA)," 18 March 2008
- gg. DoDD 5250.01, "Management of Intelligence Mission Data (IMD) in DoD Acquisition," 22 January 2013
- hh. DoDD 5105.60, "National Geospatial-Intelligence Agency (NGA)," 29 July 2009
- ii. Title 50, U.S.C., Section 3038, "Responsibilities of Secretary of Defense pertaining to National Intelligence Program"
- jj. DoDD 5100.20, "The National Security Agency/Central Security Service (NSA/CSS)," 26 January 2010

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GLOSSARY

PART I – ACRONYMS

ACCM Alternative Compensatory Control Measure

ADNI/SRA Associate Director of National Intelligence for Systems

and Resource Analysis (ADNI/SRA)

AMD Air and Missile Defense (WG)

AO Action Officer

APA Additional Performance Attribute
ASD Assistant Secretary of Defense

ASD(OEPP) Assistant Secretary of Defense for Operational Energy

Plans and Programs

BA Battlespace Awareness (FCB or WG)

C&P Characteristics & Performance

C2 Command and Control

C2OTM Command and Control On-the-Move

C4/Cyber Command, Control, Communications, and Computers;

and Cyber (FCB or WG)

CAR Component Appointed Representative

CBA Capabilities Based Assessment

CCMD Combatant Command

CDD Capability Development Document

CGA Capability Gap Assessment
CIO Chief Information Officer

CJCS Chairman of the Joint Chiefs of Staff

CJCSI Chairman of the Joint Chiefs of Staff Instruction

CONOPS Concept of Operations

CPD Capability Production Document CPA Chairman's Program Assessment

CPR Chairman's Program Recommendations

CRA Chairman's Risk Assessment CRM Comment Resolution Matrix

CWMD Countering Weapons of Mass Destruction (WG)

DCAPE Director, Cost Assessment and Program Evaluation

DAB Defense Acquisition Board DAS Defense Acquisition System

DASD Deputy Assistant Secretary of Defense

DAU Defense Acquisition University
DBS Defense Business System(s)
DCMO Deputy Chief Management Officer
DCR DOTMLPF-P Change Request

DDESB Department of Defense Explosives Safety Board

DIA Defense Intelligence Agency

DJ-2 Director, Joint Staff J-2 Directorate for Intelligence
DJ-8 Director, Joint Staff J-8 Directorate for Force Structure,

Resources, and Assessment

DJS Director of the Joint Staff
DOD Department of Defense

DODAF Department of Defense Architecture Framework
DOT&E Director of Operational Test and Evaluation

DOTMLPF-P Doctrine, Organization, Training, Materiel, Leadership

and Education, Personnel, Facilities, and Policy

EWIR Electronic Warfare Integrated Reprogramming

FA Force Application (FCB or WG)
FCB Functional Capabilities Board

FCB WG FCB Working Group

FIPT Functional Integrated Process Team

FM Force Management (WG)
FP Force Preparation (WG)
FS Force Support (FCB)

GAO Government Accountability Office

GFM Global Force Management
GIG Global Information Grid
GO/FO General Officer/Flag Officer
GEOINT Geospatial Intelligence

HCM Human Capital Management (WG)

HR Health Readiness (WG)
HUMINT Human Intelligence

IAMD Integrated Air and Missile Defense

IC Intelligence Community

ICD Initial Capabilities Document

ICCR Intelligence Community Capability Requirements

ICWG Intelligence Certification Working Group

IMD Intelligence Mission Data

IMDC Intelligence Mission Data Center

IMINT Imagery Intelligence
IPL Integrated Priority List
IS Information System

ISP Information Support Plan

J-2/DDJ28 Joint Staff J-2, Deputy Director for Battlespace

Awareness

J281/FCD Joint Staff J-2, Future Capabilities Division

1083 /IDCO	Joint Stoff 108 Intelligence Peguirements Certification
J283/IRCO	Joint Staff J28 Intelligence Requirements Certification Office
J-4/CD	Joint Staff J-4, Capabilities Division
J-4/ED	Joint Staff J-4, Engineering Division
J-4/DDSL	Joint Staff J-4, Deputy Director for Strategic Logistics
J-4/MXD	Joint Staff J-4, Maintenance Division
J-6/DDC5I	Joint Staff J-6, Deputy Director for Command, Control,
•	Communications, Computers, and Cyber Integration
J-6/DDC4Cyber	Joint Staff J-6, Deputy Director for C4/Cyber
J-6/C4CD	Joint Staff J-6, C4/Cyber Division
J-7/JIB	Joint Staff J-7, Joint Integration Branch
J-8/CAD	Joint Staff J-8, Capabilities and Acquisition Division
J-8/DDFMAS	Joint Staff J-8, Deputy Director for Force Management,
o of BBI Willo	Application, and Support
J-8/DDFP	Joint Staff J-8, Deputy Director for Force Protection
J-8/DDJIAMDO	Joint Staff J-8, Deputy Director for the Joint Integrated
5-6/DD5IAMDO	Air and Missile Defense Organization
J-8/DDR	Joint Staff J-8, Deputy Director for Requirements
J-8/DDRA	Joint Staff J-8, Deputy Director for Resources and
J-8/DDRA	
I O /EAD	Acquisition
J-8/FAD	Joint Staff J-8, Force Application Division
J-8/FD	Joint Staff J-8, Forces Division
J-8/FPD	Joint Staff J-8, Force Protection Division
J-8/FSD	Joint Staff J-8, Force Support Division
J-8/JCD	Joint Staff J-8, Joint Capabilities Division
J-8/JIAMDO/WRD	Joint Staff J-8, Joint Integrated Air and Missile Defense
/	Organization, Warfighter Requirements Division
J-8/JRAD	Joint Staff J-8, Joint Requirements Assessment Division
J-8/JRO-CBRND	Joint Staff J-8, Joint Requirements Office for Chemical,
	Biological, Radiological, and Nuclear Defense
J-8/PBAD	Joint Staff J-8, Program & Budget Analysis Division
J-8/SAPCOORD	Joint Staff J-8, Special Access Program Coordinator
JCA	Joint Capability Area
JCB	Joint Capabilities Board
JCD	Joint Concept Development
JCIDS	Joint Capabilities Integration and Development System
JCSFL	Joint Common System Function List
JCTD	Joint Capability Technology Demonstration
JITC	Joint Interoperability Test Command
JMT	Joint Mission Thread
JOE	Joint Operating Environment
JROC	Joint Requirements Oversight Council
JROCM	Joint Requirements Oversight Council Memorandum
JSAP	Joint Staff Action Processing
JSD	Joint Staffing Designator
JWSTAP	Joint Weapon Safety Technical Advisory Panel
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KM/DS Knowledge Management/Decision Support (system)

KPP Key Performance Parameter

KSA Key System Attribute

LMDP Life-Cycle Mission Data Plan

LOG Logistics (FCB or WG)

MASINT Measurement and Signature Intelligence

MDA Milestone Decision Authority
MIP Military Intelligence Program
MRP Munitions Requirements Process
Multi-INT Multiple-Source Intelligence

NGA National Geospatial-Intelligence Agency

NIP National Intelligence Program

NIPRNET Nonsecure Internet Protocol Router Network

NMS National Military Strategy
NRO National Reconnaissance Office

NSA/CSS National Security Agency/Central Security Service

NSS National Security Staff
NSS National Security System

OOB Order of Battle

POC Point of Contact

PPBE Planning, Programming, Budgeting, and Execution

QRM Quadrennial Roles and Missions (review)

RMCT Requirements Management Certification Training

SAP Special Access Program

SAPCO Special Access Program Control Office

SAR Special Access Required SECAF Secretary of the Air Force

SIGINT Signals Intelligence

SIPRNET SECRET Internet Protocol Router Network

SME Subject Matter Expert

SO-P Special Operations-Peculiar

SOCREB Special Operations Command Requirements Evaluation

Board

SSP Signatures Support Program

UJTL Universal Joint Task List
UON Urgent Operational Need
URL Uniform Resource Locator

Under Secretary of Defense for Acquisition, Technology USD(AT&L)

and Logistics

Under Secretary of Defense (Comptroller) USD(C) Under Secretary of Defense for Intelligence USD(I)

USD(P)

Under Secretary of Defense for Policy
Under Secretary of Defense for Personnel and Readiness USD(P&R)

U.S. Special Operations Command USSOCOM

VCJCS Vice Chairman of the Joint Chiefs of Staff

WG

Working Group (FCB) Weapon Safety Endorsement WSE

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PART II — DEFINITIONS

Unless otherwise stated, the terms and definitions contained in this glossary are for the purposes of this instruction only.

<u>Capability</u> – The ability to complete a task or execute a course of action under specified conditions and level of performance. (Upon approval of this document, this term and definition are proposed for addition to JP 1-02)

<u>Capability Gap</u> – The inability to meet or exceed a capability requirement, resulting in an associated operational risk until closed or mitigated. The gap may be the result of no fielded capability, lack of proficiency or sufficiency in a fielded capability solution, or the need to replace a fielded capability solution to prevent a future gap. (Upon approval of this document, this term and definition are proposed for addition to JP 1-02)

<u>Capability Gap Assessment (CGA)</u> – A deliberate assessment of the future years defense program that reviews CCMD IPLs and other issues and perspectives from the Services and other DoD Components, relative to fielded materiel and non-materiel capability solutions, and development efforts which may already be underway to address capability gaps.

<u>Capability Need</u> - See "Capability Requirement."

<u>Capability Requirement</u> – A capability required to meet an organization's roles, functions, and missions in current or future operations. To the greatest extent possible, capability requirements are described in relation to tasks, standards, and conditions in accordance with the Universal Joint Task List (UJTL) or equivalent DoD Component Task List. If a capability requirement is not satisfied by a capability solution, then there is also an associated capability gap. A requirement is considered to be 'draft' or 'proposed' until validated by the appropriate authority. (Upon approval of this document, this term and definition are proposed for addition to JP 1-02)

<u>Capability Requirement Document</u> – Any document used to articulate deliberate or urgent/emergent capability requirements and associated information pertinent to review and validation.

<u>Capability Solution</u> – A materiel solution or non-materiel solution to satisfy one or more capability requirements and reduce or eliminate one or more capability gaps. (Upon approval of this document, this term and definition are proposed for addition to JP 1-02)

<u>Contingency Operation</u> – A military operation that (a) is designated by the Secretary of Defense as an operation in which members of the armed forces are or may become involved in military actions, operations, or hostilities against an enemy of the United States or against an opposing military force; or (b) results

in the call or order to, or retention on, active duty of members of the uniformed services under section 688, 12301(a), 12302, 12304, 12304a, 12305, or 12406 of [title 10], chapter 15 of [title 10], section 712 of title 14, or any other provision of law during a war or during a national emergency declared by the President or Congress. (SOURCE: 10 USC 101)

<u>Core Mission Area</u> – DOD core mission areas identified under the most recent Quadrennial Roles and Missions (QRM) review are: Homeland Defense and Civil Support (HD/CS); Deterrence Operations; Major Combat Operations (MCOs); Irregular Warfare; Military Support to Stabilization Security, Transition, and Reconstruction Operations; Military Contribution to Cooperative Security. (SOURCE: 2009 QRM Review Report)

<u>Document Sponsor</u> – The organization submitting a capability requirement document. Solution sponsors for successor documents – Capability Development Documents (CDDs), Capability Production Documents (CPDs), and Joint DOTmLPF-P Change Recommendations (Joint DCRs) – may be different than the Requirement sponsors for initial documents – Initial Capabilities Documents (ICDs), Urgent Operational Needs (UONs), Joint UONs (JUONs), and Joint Emergent Operational Needs (JEONs). Different sponsors for requirements and solutions can occur when the initial document sponsor does not have acquisition authority and a different organization is designated to develop and field a capability solution, or when one Sponsor elects to leverage a previously validated document generated by a different Sponsor.

<u>DoD Components</u> – The Office of the Secretary of Defense, the Military Departments, the Chairman of the Joint Chiefs of Staff, the CCMDs, the Office of the Inspector General of the Department of Defense, DoD Agencies, field activities, and all other organizational entities in the Department of Defense.

Gap - See "Capability Gap."

<u>Integrated Priority List</u> – A list of a Combatant Commander's highest priority requirements, prioritized across Service and functional lines, defining shortfalls in key programs that, in the judgment of the Combatant Commander, adversely affect the capability of the Combatant Commander's forces to accomplish their assigned mission. Also called IPL. (JP 1-02. SOURCE: JP 1-04)

<u>Joint</u> – Connotes activities, operations, organizations, etc., in which elements of two or more Military Departments participate. (JP 1-02. SOURCE: JP 1)

Note that this definition of "joint" is applicable to capability requirement documents and capability solutions which apply to more than one DOD Component. See "joint military requirement" for the definition applicable to Title 10 JROC responsibilities.

<u>Joint Emergent Operational Need (JEON)</u> – UONs that are identified by a CCMD, CJCS, or VCJCS as inherently joint and impacting an anticipated contingency operation. (Upon approval of this document, this term and definition are proposed for addition to JP 1-02)

<u>Joint Military Requirement</u> – A capability necessary to fulfill or prevent a gap in a core mission area of the Department of Defense. (SOURCE: 10 USC 181)

Note that the Title 10 responsibilities of the JROC over "joint military requirements" include both joint requirements and single DOD Component requirements which makeup the entirety of the capabilities of the joint force and enable the DOD core mission areas.

<u>Joint Urgent Operational Need</u> (JUON) – UONs that are identified by a CCMD, CJCS, or VCJCS as inherently joint and impacting an ongoing contingency operation. (Upon approval of this document, this term and definition are proposed for addition to JP 1-02)

<u>Materiel (Capability Solution)</u> – All items (including ships, tanks, self-propelled weapons, aircraft, etc., and related spares, repair parts, and support equipment, but excluding real property, installations, and utilities) necessary to equip, operate, maintain, and support military activities without distinction as to its application for administrative or combat purposes. See also equipment; personal property. (JP 1-02. SOURCE: JP 4-0)

Need - See "Capability Requirement."

Non-materiel (Capability Solution) – Changes to doctrine, organization, training, (previously fielded) materiel, leadership and education, personnel, facilities, and/or policy, implemented to satisfy one or more capability requirements (or needs) and reduce or eliminate one or more capability gaps, without the need to develop or purchase new materiel capability solutions. (Upon approval of this document, this term and definition are proposed for addition to JP 1-02)

Rapid Acquisition – A streamlined and tightly integrated iterative approach, acting upon validated urgent or emergent capability requirements, to: conduct analysis and evaluate alternatives and identify preferred solutions; develop and approve acquisition documents; contract using all available statutory and regulatory authorities and waivers and deviations of such, appropriate to the situation; identify and minimize technical development, integration, and manufacturing risks; and rapidly produce and deliver required capabilities. (Upon approval of this document, this term and definition are proposed for addition to JP 1-02)

Requirement - See "Capability Requirement."

Requirement Sponsor - See "Document Sponsor."

Solution - See "Capability Solution."

Solution Sponsor - See "Document Sponsor."

Sponsor - See "Document Sponsor."

<u>Threat</u> – The sum of the potential strengths, capabilities, and strategic objectives of any adversary which can limit or negate mission accomplishment or reduce force, system, or equipment effectiveness. It does not include (a) natural or environmental factors affecting the ability or the system to function or support mission accomplishment, (b) mechanical or component failure affecting mission accomplishment unless caused by adversary action, or (c) program issues related to budgeting, restructuring, or cancellation of a program. (Upon approval of this document, this term and definition are proposed for addition to JP 1-02)

<u>Urgent Operational Need (UON)</u> – Capability requirements identified as impacting an ongoing or anticipated contingency operation. If left unfulfilled, UONs result in capability gaps potentially resulting in loss of life or critical mission failure. When validated by a single DoD Component, these are known as DoD Component UONs. DoD Components, in their own terminology, may use a different name for a UON. (Upon approval of this document, this term and definition are proposed for addition to JP 1-02)

<u>Validation</u> – The review and approval of capability requirement documents by a designated validation authority. The JROC is the ultimate validation authority for capability requirements unless otherwise delegated to a subordinate board or to a designated validation authority in a Service, CCMD, or other DoD Component. (Upon approval of this document, this term and definition are proposed for addition to JP 1-02)